

DAW

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:  
Date: February 16, 2005 Name: K. Shannon Mrksich Signature: S. Mrksich

BRINKS  
HOFER  
GILSON  
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: **HISASHI YAMAMOTO ET AL.**

Appln. No.: **10/762,028**

Examiner: **B. Dentz**

Filed: **January 20, 2004**

Art Unit: **1625**

For: **CATALYTIC ASYMMETRIC  
EPOXIDATION**

Attorney Docket No: **7814/93**

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Transmittal (in dup.), Supplemental Information Disclosure Statement, PTO 1449, and 1 Foreign Reference.  
☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.  
☒ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

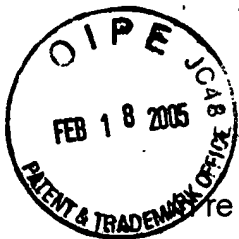
Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

2/16/05  
Date

S. Mrksich  
K. Shannon Mrksich (Reg. No. 36,675)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Appln. of: Hisashi Yamamoto et al.  
Appln. No.: 10/762,028  
Filed: January 20, 2004  
For: CATALYTIC ASYMMETRIC  
EPOXIDATION  
Attorney Docket No: 7814/93

Examiner: Bernard I. Dentz  
Art Unit: 1625

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

Other Art – Non Patent Literature Documents
Hoshino, Y. and Yamamoto, H., "Development of optically active hydroxamic acid coordinator: asymmetric epoxylation reactions of aryl alcohol," <i>Yuki Gosei Kagaku Kyokaishi (J. Synth. Org. Chem. Jpn.)</i> , <b>2002</b> , 60(5), 504-505.

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2).

The following text corresponds to a Scifinder abstract for this article (Hoshino, Y. et al.). The abstract states the following: "Asym. epoxidn. of di-aryl substituted allyl alc. catalyzed by vanadium compd. with hydroxamic acid ligand in presence of org. peroxide was introduced."

Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.


By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

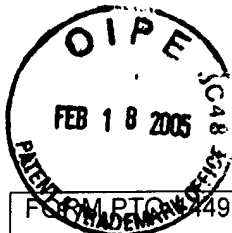
Respectfully submitted,

February 16, 2005

Date



K. Shannon Mrksich, Reg. No. 36,675



FORM PTO 449	SERIAL NO. 10/762,028	CASE NO. 7814/93
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE January 20, 2004	GROUP ART UNIT 1625
(use several sheets if necessary)	APPLICANT(S): Hisashi Yamamoto et al.	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
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EXAMINER  
INITIAL

## OTHER ART – NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

B1	Hoshino, Y. and Yamamoto, H., "Development of optically active hydroxamic acid coordinator: asymmetric epoxylation reactions of aryl alcohol," <i>Yuki Gosei Kagaku Kyokaishi (J. Synth. Org. Chem. Jpn.)</i> , <b>2002</b> , 60(5), 504-505.
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EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.